

35

FILING RECEIPT
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In re application of

Gilles FERAY

Appln. No.: 10/663,761

Confirmation No.: Unknown

Filed: September 17, 2003

For: A METHOD OF REGULATING A TRANSPORT SYSTEM



Group Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

PAPER(S) FILED ENTITLED:

1. Submission of Executed Declaration (in duplicate) with a copy of the executed Declaration and Power of Attorney, and Check No. 236815 in the amount of \$130
2. Copy of an executed Assignment with PTO Form 1595 and Check No. 236816 in the amount of \$40.00
3. Submission of Priority Document with a certified copy of:
French Appln. No. 02 11 897

SUGHRUE MION, PLLC

Telephone: (202) 293-7060

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DOCKET NO.: Q77531

ATTORNEY/SEC: PFN/tlb

Date Filed: December 12, 2003

WASHINGTON OFFICE

23373

CUSTOMER NUMBER



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Is the application of

Docket No: Q77531

Gilles FERAY

Confirmation No.: Unknown

Appln. No.: 10/663,761

Group Art Unit: Not Yet Assigned

Filed: September 17, 2003

Examiner: Not Yet Assigned

For: A METHOD OF REGULATING A TRANSPORT SYSTEM

SUBMISSION OF EXECUTED DECLARATION

MAIL STOP MISSING PARTS

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

In view of the application filed on September 17, 2003 without the appropriate executed documents, and since Applicant's attorney has not yet received the "Notice to File Missing Parts of Nonprovisional Application filed under 37 CFR 1.53(b) - Filing Date Granted," for the above application, Applicant submits herewith a copy of the Declaration for the above identified application properly executed by the inventors. Also enclosed please find Submission of Priority Documents.

A check for the statutory fee of \$130.00 is attached. The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

Paul F. Neils

Registration No. 33,102

SUGHRUE MION, PLLC
Telephone: (202) 293-7060
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WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: December 12, 2003

Attorney Docket No.: Q77531

Declaration and Power of Attorney for Patent Application**Déclaration et Pouvoirs pour Demande de Brevet****French Language Declaration**

En tant que l'inventeur nommé ci-après, je déclare par le présent acte que:

Mon domicile, mon adresse postale et ma nationalité sont ceux figurant ci-dessous à côté de mon nom.

Je crois être le premier inventeur original et unique (si un seul nom est mentionné ci-dessous), ou l'un des premiers co-inventeurs originaux (si plusieurs noms sont mentionnés ci-dessous) de l'objet revendiqué, pour lequel une demande de brevet a été déposée concernant l'invention intitulée

et dont la description est fournie ci-joint à moins que la case suivante n'ait été cochée:

a été déposée le
sous le numéro de demande des Etats-Unis ou le
numéro de demande international PCT
_____ et modifiée le
_____ (le cas échéant).

Je déclare par le présent acte avoir passé en revue et compris le contenu de la description ci-dessus, revendications comprises, telles que modifiées par toute modification dont il aura été fait référence ci-dessus.

Je reconnais devoir divulguer toute information pertinente à la brevetabilité, comme défini dans le Titre 37, § 1.56 du Code fédéral des réglementations.

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**A METHOD OF REGULATING A TRANSPORT
SYSTEM.**

the specification of which is attached hereto unless the following box is checked:

☒ was filed on September 17, 2003
as United States Application Number or PCT
International Application Number 40/663,761
(Confirmation No. _____)
and was amended on _____
(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

French Language Declaration

Je revendique par le présent acte avoir la priorité étrangère, en vertu du Titre 35, § 119(a)-(d) ou § 365(b) du Code des Etats-Unis, sur toute demande étrangère de brevet ou certificat d'inventeur ou, en vertu du Titre 35, § 365(a) du même Code, sur toute demande internationale PCT désignant au moins un pays autre que les Etats-Unis et figurant ci-dessous et, en cochant la case, j'ai aussi indiqué ci-dessous toute demande étrangère de brevet, tout certificat d'inventeur ou toute demande internationale PCT ayant une date de dépôt précédant celle de la demande à propos de laquelle une priorité est revendiquée.

Prior foreign application(s)
Demande(s) de brevet antérieure(s)

02 11 897

(Number)
(Numéro)

FR

(Country)
(Pays)

(Number)
(Numéro)

(Country)
(Pays)

Je revendique par le présent acte tout bénéfice, en vertu du Titre 35, § 119(e) du Code des Etats-Unis, de toute demande de brevet provisoire effectuée aux Etats-Unis et figurant ci-dessous.

(Application No.)
(N° de demande)

(Filing Date)
(Date de dépôt)

(Application No.)
(N° de demande)

(Filing Date)
(Date de dépôt)

Je revendique par le présent acte tout bénéfice, en vertu du Titre 35, § 120 du Code des Etats-Unis, de toute demande de brevet effectuée aux Etats-Unis, ou en vertu du Titre 35, § 365(c) du même Code, de toute demande internationale PCT désignant les Etats-Unis et figurant ci-dessous et, dans la mesure où l'objet de chacune des revendications de cette demande de brevet n'est pas divulgué dans la demande antérieure américaine ou internationale PCT, en vertu des dispositions du premier paragraphe du Titre 35, § 112 du Code des Etats-Unis, je reconnais devoir divulguer toute information pertinente à la brevetabilité, comme défini dans le Titre 37, § 1.56 du Code fédéral des réglementations, dont j'ai pu disposer entre la date de dépôt de la demande antérieure et la date de dépôt de la demande nationale ou internationale PCT de la présente demande:

(Application No.)
(N° de demande)

(Filing Date)
(Date de dépôt)

(Application No.)
(N° de demande)

(Filing Date)
(Date de dépôt)

Je déclare par le présent acte que toute déclaration ci-incluse est, à ma connaissance, véridique et que toute déclaration formulée à partir de renseignements ou de suppositions est tenue pour véridique; et de plus, que toutes ces déclarations ont été formulées en sachant que toute fausse déclaration volontaire ou son équivalent est passible d'une amende ou d'une incarcération, ou des deux, en vertu de la Section 1001 du Titre 18 du Code des Etats-Unis, et que de telles déclarations volontairement fausses risquent de compromettre la validité de la demande de brevet ou du brevet délivré à partir de celle-ci.

I hereby claim foreign priority under Title 35, United States Code, § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below, and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Priority Not Claimed
Droit de priorité non revendiqué

26/09/2002

(Day/Month/Year Filed)
(Jour/Mois/Année de dépôt)

(Day/Month/Year Filed)
(Jour/Mois/Année de dépôt)

I hereby claim the benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below.

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

(Status)(patented, pending, abandoned)
(Statut)(breveté, en cours d'examen, abandonné)

(Status)(patented, pending, abandoned)
(Statut)(breveté, en cours d'examen, abandonné)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

French Language Declaration

POUVOIRS: En tant que l'inventeur cité, je désigne par la présente l'(les) avocat(s) et/ou agent(s) suivant(s) pour qu'ils poursuive(nt) la procédure de cette demande de brevet et traite(nt) toute affaire s'y rapportant avec l'Office des brevets et des marques: (*mentionner le nom et le numéro d'enregistrement*).

John H. Mion, Reg. No. 18,879; Thomas J. Macpeak, Reg. No. 19,292; Robert J. Seas, Jr., Reg. No. 21,092; Darryl Mexic, Reg. No. 23,063; Robert V. Sloan, Reg. No. 22,775; Peter D. Olexy, Reg. No. 24,513; J. Frank Osha, Reg. No. 24,625; Louis Gubinsky, Reg. No. 24,835; Neil B. Siegel, Reg. No. 25,200; David J. Cushing, Reg. No. 28,703; John R. Inge, Reg. No. 26,916; Joseph J. Ruch, Jr., Reg. No. 26,577; Sheldon I. Landsman, Reg. No. 25,430; Richard C. Turner, Reg. No. 29,710; Howard L. Bernstein, Reg. No. 25,665; Alan J. Kasper, Reg. No. 25,426; Kenneth J. Burchfiel, Reg. No. 31,333; Gordon Kit, Reg. No. 30,764; Susan J. Mack, Reg. No. 30,951; Frank L. Bernstein, Reg. No. 31,484; Mark Boland, Reg. No. 32,197; William H. Mandir, Reg. No. 32,156; Brian W. Hannon, Reg. No. 32,778; Abraham J. Rosner, Reg. No. 33,276; Bruce E. Kramer, Reg. No. 33,725; Paul F. Neils, Reg. No. 33,102; and Brett S. Sylvester, Reg. No. 32,765; Robert M. Masters, Reg. No. 35,603; George F. Lehnigk, Reg. No. 36,359; John T. Callahan, Reg. No. 32,607; Steven M. Gruskin, Reg. No. 36,818; Peter A. McKenna, Reg. No. 38,551 and Edward F. Kenehan, Reg. No. 28,962

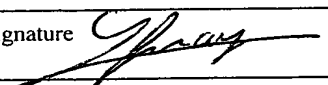
POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith: (*list name and registration number*)

Adresser toute correspondance à:

Send Correspondence to:
SUGHRUE, MION, ZINN, MACPEAK & SEAS, PLLC
2100 Pennsylvania Avenue, N.W., Suite 800
Washington, D.C. 20037-3213

Adresser tout appel téléphonique à:
(*nom et numéro de téléphone*)

Direct Telephone Calls to:
(*name and telephone number*)

Nom complet de l'unique ou premier inventeur	Full name of sole or first inventor Gilles FERAY
Signature de l'inventeur	Inventor's signature  Date 5^e octobre 2003
Domicile	Residence 75019 PARIS
Nationalité	Citizenship FRENCH
Adresse postale	Post Office Address 15, rue Emile DESVAUX
Nom complet du second co-inventeur, le cas échéant	Full name of second joint inventor, if any
Signature du second inventeur	Second inventor's signature
Date	Date
Domicile	Residence
Nationalité	Citizenship
Adresse postale	Post Office Address

(Fournir les mêmes renseignements et la signature de tout co-inventeur supplémentaire.)

(Supply similar information and signature for third and subsequent joint inventors.)

Nom complet du troisième co-inventeur, le cas échéant		Full name of third joint inventor, if any	
Signature du troisième l'inventeur	Date	Third inventor's signature	Date
Domicile		Residence	
Nationalité		Citizenship	
Adresse postale		Post Office Address	
Nom complet du quatrième co-inventeur, le cas échéant		Full name of fourth joint inventor, if any	
Signature du quatrième l'inventeur	Date	Fourth inventor's signature	Date
Domicile		Residence	
Nationalité		Citizenship	
Adresse postale		Post Office Address	
Nom complet du cinquième co-inventeur, le cas échéant		Full name of fifth joint inventor, if any	
Signature du cinquième l'inventeur	Date	Fifth inventor's signature	Date
Domicile		Residence	
Nationalité		Citizenship	
Adresse postale		Post Office Address	
Nom complet du sixième co-inventeur, le cas échéant		Full name of sixth joint inventor, if any	
Signature du sixième l'inventeur	Date	Sixth inventor's signature	Date
Domicile		Residence	
Nationalité		Citizenship	
Adresse postale		Post Office Address	

**RECORDATION FORM COVER SHEET
PATENTS ONLY**

Q77531
U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

To the Director of the U.S. Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Gilles FERAY



2. Name and address of receiving party(ies):

ALSTOM

25, avenue Kleber
75116 Paris - FRANCE

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: October 9, 2003

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)
10/663,761

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

SUGHRUE MION, PLLC

WASHINGTON OFFICE

23373

CUSTOMER NUMBER

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41): \$40.00

☒ Enclosed.

☐ Authorized to be charged to Deposit Account No. 19-4880.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.

8. Deposit Account Number:

19-4880

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Paul F. Neils

Reg. No. 33,102

December 12, 2003

Date

Total number of pages including cover sheet, attachments, and documents: 2

Mail documents to be recorded with required cover sheet information to:

MAIL STOP ASSIGNMENT RECORDATION SERVICES

Director of the U.S. Patent and Trademark Office

P.O. Box 1450

Alexandria, VA 22313-1450

ASSIGNMENT

Whereas, I/We

➤ **Gilles FERAY**

Of

➤ **15, rue Emile DESVAUX - 75019 PARIS / France**

Hereinafter called assignors, have invented certain improvements in "**A METHOD OF REGULATING A TRANSPORT SYSTEM**" and executed an application for Letters Patent of the United States of America therefor on October 9, 2003

; and

Whereas,

➤ **ALSTOM**

25, avenue Kléber - 75116 PARIS - FRANCE

(assignee), desires to acquire the entire right, title and interest in the application and invention, and to any United States patents to be obtained therefor;

Now, therefore, for valuable consideration, receipt whereof is hereby acknowledged,

I/We, the above named assignor(s), hereby sell, assign and transfer to the above named assignee, its successors and assigns, the entire right, title and interest in the application and the invention disclosed therein for the United States of America, including the right to claim priority under 35 U.S.C. §119, and I/We request the Director - U.S. Patent and Trademark Office to issue any Letters Patent granted upon the invention set forth in the application to the assignee, its successors and assigns; and I/We will execute without further consideration all papers deemed necessary by the assignee in connection with the United States application when called upon to do so by the assignee.

I/We hereby authorize and request our attorneys SUGHRUE, MION, PLLC of 2100, Pennsylvania Avenue, N.W., Washington, D.C. 20037-3213 to insert here in parentheses (Application number, and Confirmation number _____, filed, _____ the filing date and application number of said application when known.

Appln. No. 10/663,761; Conf. No. Unknown; filed September 17, 2003

Date :

Gilles FERAY

9/10/2003

